Kaibab National Forest

WildFire Guidelines For Archaeologists

May 2010

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Executive Summary

Archaeology is a major resource issue when there is a fire, whether it is a wildfire or a prescribed burn. During wildfires, the Kaibab National Forest often requests archaeologists from other forests and regions to assist with wildfires on the Kaibab NF. This document is designed to be a resource for the Kaibab Fire Management Program and visiting archaeologists to guide them regarding the Kaibab NF wildfire protocols, safety protocol for working with heavy equipment, acceptable approaches to preserving sensitive heritage resources, post suppression rehabilitation, and data recording and management standards.

Also included are examples of site recordation and report forms, guidelines for identifying artifacts, a Memorandum of Understanding regarding wildfires using a resource benefit strategy and the R3 Amended Programmatic Agreement with the Arizona State Historic Preservation Officer, and Burned Area Emergency Response (BAER) Information.

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Introduction

Archaeology is a major resource issue when there is a fire, whether it is a wildfire or a prescribed burn. During fires, the Kaibab National Forest often requests archaeologists from other forests and regions to assist with wildfires on the Kaibab. This document is designed to be a resource for the Kaibab Fire Management Program and visiting archaeologists to guide them regarding the Kaibab NF wildfire protocols, safety protocol for working with heavy equipment, acceptable approaches to preserving sensitive heritage resources, post suppression rehabilitation, and data recording and management standards. Included are also sources of information such as contact lists for the Kaibab NF archaeologists, Williams Dispatch Center (WDC) and district offices, maps and other pertinent resources. Lastly, there is information and resources for conducting Burn Area Emergency Response (BAER) analysis.

Much of the following was liberally borrowed from the Bureau of Land Management Field Guide for BLM Archaeologists Assigned to Wildfires (Horn and Winthrop 2005), and from documents used for a Wildland Fire Arch workshop created by the staff on the Coconino National Forest. Other sources included the Incident Response Pocket Guide (IRPG), the Fire Line Handbook and the expertise of experienced, fire qualified archaeologists.

Legal Consideration

36 CFR Part 800-Protection of Historic Properties does apply to all proposed wildland fire activities such as prescribed burns and fuels treatment in Wildland Urban Interface areas. Section 12 of Part 800 applies specifically to emergency situations. Section 12(a) states that:

The agency official, in consultation with the appropriate SHPOs/THPOs, affected Indian tribes and Native Hawaiian organizations, and the Council, is encouraged to develop procedures for taking historic properties into account during operations which respond to a disaster or emergency declared by the President, tribal government, or the Governor of a State or which respond to other immediate threats to life of property. If approved by the Council, the procedures shall govern the agency's historic preservation responsibilities during any disaster or emergency in lieu of §§800.3 through 800.6

The Kaibab addressed managed fires by developing a strategy with the SHPO evaluating heritage resources and providing input to decision support documents. Finally, when applied to Wildfires, Section 12(d) states that:

(t)his section in the regulations on emergencies only applies to undertakings that will be implemented within 30 days after the disaster or emergency has been *formally declared* by the appropriate authority. An agency may request an extension of the period of applicability from the Council(Advisory Council on Historic Preservation) prior to the expiration of the 30 days. *Immediate rescue and salvage operations conducted to preserve life or property are exempt* from the provisions of section 106 (of the National Historic Preservation Act) and this part.

As a rule, during a wildfire, the SHPO and the Tribes, especially if there are significant cultural values at risk, should be notified by the archaeologist and/or tribal liaison. Subsequent reports to the SHPO and/or tribes are for information only, unless there are recommendations for site eligibility concurrence which should be submitted to SHPO. To view all laws associated with Heritage resources management and consultation protocols see the Forest Service Manual Section 2300, Chapter 2360- Heritage Resource Management.

Ordering Archaeologists

As a general rule, there should always be an archaeologist assigned to any type of fire whether it's a wildfire or a prescribed burn. However, it is most critical to order archaeologists when ordering heavy equipment to a fire. Ideally, there would be one archaeologist for each piece of heavy equipment ordered. Realistically, because there is a shortage of fire qualified archaeologists, it may take longer to identify the archaeologist and, depending on the size of the fire, there may not be enough archaeologists to cover all of the heavy equipment.

It is preferable to order fire qualified archaeologist with Southwestern archaeology experience. However, again due to the shortage of fire qualified archaeologists, it may be necessary to order archaeologists from other cultural areas of the United States. If that is the case, it is critical that these archaeologists are given a general orientation regarding the culture history and cultural material of the Southwest by a locally experienced archaeologist before assigning them a task on the fire.

Reporting to a Fire

All archaeologists, upon arriving to a Type I, II, or III wildfirefire, should follow the standard ICS procedures as outlined below. However, keep in mind that the person(s) that archaeologists are required to report to may vary depending on the size and type of wildfire.

♦ As a general rule, archaeologists should check in immediately with Status/Checkin Recorder or Planning Section Chief.

- Get handheld programmable radios cloned in communications, or check out a handheld radio, with current incident frequencies; check frequencies daily as they may change.
- Get current Incident Action Plan (IAP) and map (check with the Planning Section).
- Set up your tent in the identified sleeping area, preferably away from lights, generators and port-a-potties.
- Obtain necessary equipment and supplies such as batteries, two days of food (MREs), water, tools, flagging, etc.

Archaeologists should also check in with their supervisor for their assignment. Their supervisor will either be the Lead Archaeologist, the Resource Advisor, or the Planning Section Chief. On the fire line, the supervisor may also be the dozer boss and/or Division Supervisor. If you are the first archaeologist on the fire, contact the Kaibab Forest Archaeologist, South Zone Archaeologist, or the North Kaibab Archaeologist (see Appendix A for a list of contact information), to request access to the heritage files and other relevant information.

Roles and Responsibilities

Lead Archaeologist

Whether it is a small fire with one or two archaeologists or a large fire with a full archaeology crew, one archaeologist should be identified as the "lead" archaeologist. That person is responsible for ordering archaeologists, coordinating with fire efforts, managing the data and working with the resource advisors, the planning section and the Incident Commander (IC). It is preferable that the lead archaeologist is an archaeologist from the Kaibab NF. However, in the event that a Kaibab NF archaeologist is not available, the first archaeologist on the fire should assume the role. If the fire grows and more archaeologist or another qualified archaeologist willing to assume the role. As a general rule, the lead archaeologist should operate out of the base camp and should not be assigned to the fire line.

The Lead Archaeologist has the responsibility to:

- Work to minimize safety risks to fire archaeologist by emphasizing the Standard Firefighting Orders, Watch Out Situations, and insure that assigned archaeologists are aware of safety issues unique to the local fire environment.
- Work within the established chain of command to coordinate with the Incident Commander through the appropriate Section Chief (typically the Planning Section [Working with the planning section personnel is one of the most important roles an archaeologist can play in the protection of heritage resources on a fire]).
- Find a color of flagging not being used on the fire and designate that color for arch sites (As a rule, hot pink is the standard color used by forests in Region 3)

Communicate the information to the fire fighters and the Planning Section and insure that the archaeologist have an adequate supply.

- Establish and maintain appropriate coordination with the Resource Advisor, if one has been assigned.
- Order archaeologists as needed and maintain a functioning and safe archaeology staff.
- Conduct an archaeological records search and collect any other relevant historical and cultural data.
- Attend all briefings and planning meetings.
- Coordinate with the necessary personnel to develop strategies for identifying and the protection of heritage resources.
- Maintain and manage all archaeological data including site and survey records, maps, GPS and GIS coverages and shape files, in either digital and hard copy formats (see Data Management) and maintain confidentiality of such data.
- Provide input to the Operations Section regarding archaeology staffing needs for shifts. (This should be done through the planning section, but it doesn't hurt to discuss with operations, especially Division Supervisors and dozer bosses).
- Liaise with the local heritage staff on a regular basis if a local heritage staff member is not assigned to the fire.
- Prepare a technical document summarizing the status of the heritage properties analysis and/or recommendations regarding future management of site affected by the suppression activities (see Data Management).
- Prepare transition to BAER team.
 - Many of the responsibilities of the Lead Archaeologist are recurring. Therefore, the Lead Archaeologist should ensure that they or another member of the team accomplish the following throughout the duration of the incident.
 - Insure personnel time is getting recorded and turned into the Time Unit daily.
 - Properly brief field crews; make sure they possess current Incident Action Plans and are integrated with incident operations for every shift. Ensure that the briefing is understood by each archaeologist.
 - Check red-card qualifications of incoming archaeologists.
 - Archaeologists have radios with current frequencies.
 - ✤ All field personnel have hand tools in addition to full PPE.
 - Operations planned for the archaeologists are safe.
 - Debrief archaeologists daily and brief on assignments for next shift.
 - ✤ Collect and store all field documentation.
 - Organize and complete appropriate cultural resources documentation.
 - Make cultural resources documentation available for: suppression rehabilitation, BAER/ESR, and the local unit Heritage staff.
 - Complete demobilization for each archaeologist.

Archaeologists

Minimally, archaeologist on the fire should meet the standards listed in the FSM-2360 and have a valid red card. Since there are no set training procedures for archaeologists in the ICS system, all archaeologist on the fire line should have completed basic wildland fire training (ICS-100, S-180, S-130, and S-190), and have an arduous work capacity rating. Archaeologists with a moderate or light work capacity rating will have completed basic wildland fire training and can be "escorted" to the fire line by a person with an arduous rating and will follow the Red Book Chapter 7, page 7-16 through 7-18 for visits to the fireline, but they will never be allowed to work alone on the fireline.

Archaeologists with a moderate or light work capacity rating, however, can participate in rehab work, conducted after the fire is contained or in areas that are cold, and during the BAER analysis phase without being "escorted."

Archaeologists have the responsibility to:

- ♦ Get daily briefing from Lead Archaeologist including:
 - Location of assignment
 - Type of data/information required
 - Priorities
 - ✤ Time limits for completion
 - Method of transportation and safest route to get to assignment.
 - Appropriate background documentation such as maps and site records for the area assigned.
- Identify appropriate Division Supervisor for each assignment and check in with that individual to present planned activities in terms of personnel and location.
- Identify and check in with the appropriate dozer boss and/or heavy equipment operator and swampers and discuss planned activities and safety procedures (see Dozer Safety).
- Conduct a radio check at the beginning of each shift on location with the Division Supervisor and the heavy equipment operator if appropriate; respect priority of emergency radio traffic.
- Perform archaeological duties including, but not limited to the following:
 - Maintain Situational Awareness and observe all standard safety procedures when working on the fireline and with heavy equipment.
 - Inspect firelines and other areas disturbed by incident operations and/or areas planned for ground disturbing operations, to locate cultural resources and determine effects related to the incident.
 - Record cultural resources utilizing appropriate site record forms provided in Appendix D. Monitor previously recorded archaeological sites to determine and document effects, if any, related to the incident.
 - Collection of artifacts is not encouraged in general. However if it is deemed that a diagnostic artifact is in danger of being lost or destroyed, collections should only be made in consultation with the Heritage Program Manager or a Zone Archaeologists. (also see "Collections" below)

- Work with Division Supervisor(s) and fire crews to protect cultural resources from incident related impacts.
- Maintain field notes (i.e. vegetation, topography, hydrology, cultural resources suppression related damage, etc.).
- Report information and observations to the Lead Archaeologist daily.

Response to Wildfire

As a general rule, an archaeologist should be assigned to each piece of heavy equipment to help guide the equipment around archaeological sites and should be available to advise fire crews on how to best protect fire-sensitive sites within the projected path of the fire. Archaeologists should also conduct pedestrian surveys of all locations, such as safety zones and staging areas, where new ground disturbance is likely to occur. However, it is not always possible for archaeologists to cover all of these areas during incident efforts.

In situations where there are more activities than archaeologists to cover them, the Lead and field archaeologists should devise a strategy which would prioritize high site density areas as the primary focus during the response to wildfire with the remaining areas being addressed when staff become available and/or prior to the rehab.

Archaeologists are also responsible for identifying previously recorded sites and collecting data on newly discovered sites located within or near areas of ground disturbance. Also for assessing the potential impacts to the sites and recommending protection measures if warranted.

Survey

Safety is always the primary concern, especially if archaeologists are working on indirect fire line or in the green ahead or flanking the flaming front as a single resource. If you do not feel comfortable with a particular assignment, make that known to your supervisor. Never compromise your safety for an archaeological site. Safety measures should include:

- Carrying a radio, programmed with correct frequencies and conduct a radio check to ensure the radio is functioning properly. Carry spare batteries with you at all times.
- Ensuring that archaeology crew supervisors and the appropriate supervisors know the location of archaeologists working on the fire. Let appropriate supervisors know (via radio communication) when you come on their division, where and how long you expect to be working, and when you leave their division. This is critical as Division Supervisors are responsible for all resources on their division. Should disengagement from the fireline need to occur, the Division Supervisor will need to contact you directly to account for acknowledgement of the order.
- ♦ Arranging transportation to and from the survey area.
- Knowing and using the 10 and 18's and LCES and basing all tactical, on-ground decisions on appropriate hazard assessment.

- Establish or know where the closest escape routes and safety zones are located at all times. Time yourself to know how long it will take you to get to a Safety Zone.
- Make sure others know that there are archaeologists in the area and what they are doing, especially if they are working around heavy equipment. Work closely with the dozer boss as they survey the line.
- Carry a hand tool (shovel, combination tool, etc.) at all times this is required for fireline duty. If you have to deploy your fire shelter, you will use the tool to clear the flammable material from the deployment site

The archaeologists should select a distinctive color/pattern/combination of flagging (as a rule, hot pink is the color used on Forests in R3) and provide this information to Planning, who will insert the information into the Incident Action Plan. Once selected, this flagging must be used consistently by field crews. It may be advantageous to write on the flagging using a permanent marker – use field numbers or other non-descript identifiers (rather than "extremely important archaeological site 100 meters that way"). This will help firefighters if there is any confusion about what the flagging is. "Flag sites for a Blind Person"– use it copiously; make sure that your flagging is easily identifiable. Dozer operators have trouble seeing out of the cab as the work is in dusty, smokey conditions. Let the dozer boss and/or Dozer Operator know what your flagging color scheme is.

Flag site boundaries and leave the flagging in place until incident rehab is completed. Remember, suppression rehab may involve a bulldozer passing along the fire line to restore soil contours. If possible, try to give a 15-30 meter buffer around the boundary of the site.

The archaeologist should develop a numbering system for sites so that numbers can be assigned in the field and encoded into GPS.

Implement standard survey and documentation procedures to the extent possible:

- Document survey coverage using GPS, mobil units, or on maps as you go.
- Identify previously recorded site locations and record new sites using the sample form located in Appendix D.
- Flag sites for protection along dozer lines and access roads.
- Photograph and record disturbance to sites from suppression activities using the sample form in Appendix D.
- Turn in all documentation to the lead arch daily.

Documenting sites

It may not be possible to document sites to normal standards during a fire (see Appendix D for site form example). At a minimum, site documentation should include:

- Site identifier/ site number
- ✤ UTM/ GPS data point (CONUS NAD 83)
- Artifacts/ features present (brief description)

- Date, name of recorders
- Photograph
- Presence/ absence of damage from fire suppression
- Description of damage from fire, if present
- Site dimensions

Additional data, such as creating a site map or taking additional photos of diagnostic artifacts, can be gathered, if warranted, prior to the incident rehab. Site maps can be created by using a GPS to map all of the features if there is enough distance between the features for them to be able to be distinguished when projected in GIS. Otherwise, site maps should be hand drawn.

Collections

Artifacts should not be collected. However, in the event that a diagnostic (diagnostic lithic artifacts such as projectile points are not considered threatened by normal fire activities), rare, or fire sensitive artifact is threatened and cannot be relocated to a safe place near by, they can be collected (but only after consulting with the Kaibab NF Archaeologist or the Lead Archaeologist, if the Kaibab NF Archaeologist is not available to consult within a timely manor). All collected materials should be placed into labeled bags and handed over the Lead Archaeologist along with the daily documentation.

Human remains or funerary objects

In the event that prehistoric human remains and/or associated funerary objects are discovered on the fireline, archaeologists will take all measures practical and necessary to minimize impacts to the area. Such measures will include marking the area for avoidance from ground disturbing activities with flagging and informing the dozer boss and/or Division Supervisor (preferably in person rather than on the radio) that there is a highly sensitive heritage resource that is marked and needs to be avoided. Any activity within the site boundary will be avoided if possible, and no prehistoric human remains and/or associated funerary objects shall be collected or removed from their immediate vicinity. Any necessary operations conducted within the site boundary should be conducted using Minimum Impact Management Techniques (MIMT). Archaeologists will coordinate the implementation of site protection measures, such as black-lining, wet-lining, foam lining, or construction of handline with the dozer boss and/or Division Supervisor, if needed, to move the incident activities away from the area. Firefighters should avoid directly applying fire retardant or foam to human remains.

At the end of each shift, the Lead Archaeologist must be notified of any discoveries of human remains to ensure ongoing protection of the site throughout the incident, post-fire monitoring, and protection during post-fire rehabilitation. The Lead Archaeologist must promptly notify the Forest Tribal Liaison of the discovery to ensure culturally affiliated tribes are consulted on a timely basis.

All human remains will be treated with appropriate respect. Disrespectful or inappropriate treatment of human remains will not be tolerated and is cause for dismissal from the incident.

Human remains and Native American Crews

Due to cultural or religious reasons, Native American personnel working on the fireline may express concerns about exposure to human remains or archaeological sites. These concerns should be respected and accommodated to whatever extent possible.

Dozer Safety

The role of an archaeologist working with a dozer or any other heavy equipment is to try to identify and avoid impacting any heritage resources that might be in the path of the disturbance. Archaeologists should work closely with the dozer boss and/or swamper as they survey the lines and make sure the dozer boss and/or swamper know where the archaeologist is located at all times and do the following:

- Route heavy equipment around sites when possible, but the dozer boss has the final say as the person responsible for the safety of the equipment and operator. Let the dozer boss and/or dozer operator know what your flagging scheme is and what it means before engagement on the fire.
- Get a briefing on what the tactical directives and parameters of dozer line are. Maintain your situational awareness, communications with the dozer boss and know where your escape routes and safety zones are AT ALL TIMES. If there is any doubt, stop what you are doing and get a briefing from the dozer boss or nearby overhead.
- Establish hand signals or other communication methods with the operator before beginning work.
- Stay in radio contact with the dozer boss and/or swamper.
- Never ride on a dozer or get on or off moving equipment.
- Never approach a dozer without ensuring the operator sees you first. Make sure they see you and approach only after they signal for you to do so. Dozer operators are extremely aware of falling and flying debris. If you need to get the attention of the dozer operator, in an emergency, try throwing a stick or branch either over the blade or in between the cab and the blade. But be sure to maintain a safe distance from the dozer.
- Stay at least 100 feet ahead of or 50 feet behind equipment.
- Watch for falling trees. Stay 2 ½ times the height of the tallest trees away. More is better.
- Stay visible. Tie bright flagging to your tool. Imagine what the operator can see. Can they see you?
- For night operations, have a strobe light attached to your pack, or at least a glow stick and headlamp.
- Never stand or work down slope from a working dozer.
- Wear ear and eye protection and hardhat around equipment.

Don't get tunnel vision surveying ahead of dozers. Stop and look around from time to time. "Look up, look down, look around!"

As a general rule, the Kaibab NF does not encourage archaeologists to work with heavy equipment at night. If a fire breaks late in the afternoon or early evening, an archaeologist should be assigned to the following day shift. The archaeologist should brief the night shift dozer boss or operator at the end of the shift regarding any archaeological concerns. Conduct a survey of the line that was constructed during the night operations at the beginning of the next days shift, if time allows, or when there is staff available prior to the suppression rehab.

Finally, because there is often a shortage of archaeologists, there may be occasions when there is more heavy equipment than archaeologists available to cover them. In those cases, it is necessary for the lead and field archaeologists to devise a strategy that prioritizes areas of high heritage sensitivity that will get immediate attention from the archaeologists; leaving the low sensitivity areas to be assessed when staff is available or prior to the incident rehab.

Site Protection Methods

The following is a general list of site protection measures that can be implemented, but is in no way an exhaustive list.

Protection Measures

There are many actions that will help protect cultural resources from the effects of fire. Heritage specialists should work with fire specialists to implement these measures. The amount of protection afforded to heritage resources during an incident will depend in part on prior planning, readiness, and on the factors at play during an incident, including availability of resources and safety considerations.

Suggestions for protecting heritage resources include the following:

- Identify and flag for avoidance vulnerable heritage resources during incident actions, such as dozer-line construction.
- Manually reduce fuels on and/or around vulnerable sites; pile debris offsite.
- Create fire breaks near/around sites. This may be an effective way to protect rock art panels.
- Use retardant or foam to protect structures when necessary. NEVER use retardant or foam on rock art. (See note on these materials below.)
- Wrap structures in fire proof materials to protect from fire.
- Remove logs/heavy fuels from vulnerable sites/features (e.g. clear snags off bedrock mortars), or cover with foam or retardant prior to burn.
- Flush cut and cover stumps with dirt, foam, or retardant, where burnout could affect subsurface cultural resources.
- ♦ Identify and reduce hazard trees next to historic structures.

- Saturate ground/grass adjacent to vulnerable structures with water, foam, or gel before burning.
- Burnout around vulnerable sites.
- Wrap carved trees, dendroglyphs, and other such features in fire retardant fabric.
- Limb carved trees to reduce ladder fuels.
- Cover rock art in fire resistant fabric.
- Minimize fuels and smoke near rock art.
- Cover fuels near rock art with foam, water, or retardant, avoiding the rock art.

For a good discussion of protection measures for historic structures, see Matz (2002).

Fire Retardant/ Chemical Products

Application of fire retardant and other chemical products has the potential to affect heritage resources, although use of fire retardants on historic structures may protect them from destruction during a fire. Heritage resource specialists may need to consider the effects of fire itself versus the effects of retardant use or the possibility of other protection options during a fire. (See these references for further information: Saleen 2004, Corbeil 2002, and the USDA Wildland Fire Chemical Systems website. This website has brief descriptions of the types of chemicals used and their potential effects on structures.)

There are various types of products:

- Long-term retardants, which contain salts (fertilizers) with additives that may color covered items red or which may turn metals bluish.
- ♦ Foam fire suppressants, which are detergents and surfactants (wetting agents).
- ♦ Water enhancers which increase the effectiveness of water.

There are various potential effects from use of retardants, foams, and water:

- Rapid cooling: dumps of any of these materials on hot surfaces may cause effects to archaeological materials (e.g. artifact fracture) from rapid temperature change.
- Materials dumped onto fragile archaeological features may break/displace them.
- Long-term retardants contain salts which can be desiccants, which damage old, fragile wood and may cause spalling in sandstone.
- Chemicals may cause corrosion in metals.
- Iron oxide additives may leave a permanent red stain and corrosion inhibitors in the retardant may turn surfaces, especially metals, blue or black.
- Foams may hasten rusting on metal surfaces by removing protective coatings and may cause wood to flake due to swelling and contracting.
- Water enhancers are desiccants and may damage wood surfaces, strip surfaces of finishes, and damage sandstone. They are also difficult to remove from wood surfaces, especially for old or fragile wood.

Retardant should be washed off important structures as soon as possible. Presoaking, then hand-brushing with water and a mild detergent may work for sandstone or painted wood. Metals and glass may be wiped with water and a mild detergent. Power washing, sand-blasting, and acid based washes may damage historic materials.

Specific Site Protection Measures

Pictograph Sites

The Kaibab has a large concentration of pictograph sites, the majority of which are located within the Kanab Creek Wilderness (KCW) and along drainages on the Tusayan and Williams Ranger Districts. The Forest has currently designated KCW as unsuitable for wildfires using a resource benefit strategydue to heritage resource sensitivities. However, this does not preclude a wildfire from occurring in the wilderness.

Pictograph sites such as Snake Gulch Canyon (KCW), for example, are particularly vulnerable to potential adverse effects caused by wildfire due to a current infestation of noxious weeds as well as tall grasses in the canyon bottom. Likely effects to these and other pictograph sites would include smoke damage (sooting) and possible radiant heat damage to the pigments and rock surfaces.

Petroglyph panels would be subject to similar effects. Suggested treatments include removing vegetation in front of the panels to limit radiant heat exposure and smoke damage. If feasible, fire retardant fabric can also be used to protect the panels. However, treatment options within wilderness areas, such as the KCW, must comply with wilderness management guidelines and are generally limited to hand treatments. Any retardant use should take into account potential effects to the rock art.

Limestone Pueblos

The Kaibab NF has a high density of pueblos and small field houses that were constructed of limestone. Many of these sites are located in the pinyon-juniper zones of the forest. Post fire inspections of wildfires during the past two decades have demonstrated that high intensity, wind driven fires in pinyon-juniper zones, may damage these sites. Limestone is very porous and will spall and crack under extreme temperatures generally associated with high intensity fire activity. This effect is magnified under high fuel loading conditions, such as, situations where dead woody debris is concentrated atop a structural feature or when standing trees or stumps are totally consumed, including subsurface root systems. This "burn out" can cause rapid collapse of structures and features.

Pre-fire treatment of these sites, if time permits, can reduce adverse effects to the sites. This includes removing limbs and woody debris from atop the features as well as cutting dead trees and foaming over the stumps to prevent burn out. These techniques should only be used when safe to do so and are more likely to be feasible during a wildfire using a resource benefit strategyor a prescribed burn.

While it may not be possible to minimize adverse fire effects to these sites during a wildfire, post fire treatment/rehabilitation efforts at sites that have suffered stand replacement fire can be beneficial. This includes removing trees atop structures to the stump, hand seeding, and in situations of high erosion, mulching and using downed trees to deflect and disperse water to limit sediment erosion. Post fire tree tip-ups are most common with larger conifers killed by fire. In contrast, post fire monitoring within burned pinyon-juniper has indicated that falling limbs and branches and subsequent fuel loading are common. Therefore, removing dead trees as part of post fire rehabilitation efforts can aid in the protection of sites during future wildfires.

Fire Lookout Trees

The Kaibab NF has several fire lookout trees that remain. These sites are listed on the National Register of Historic Places. Though found elsewhere, fire look out trees are not common throughout the Forest Service. In the early years of the Forest Service, these lookout trees served as high points allowing forest rangers to locate fires. They generally include wooden ladders attached to a tall old growth ponderosa pine or Douglas fire tree, terminating with a small platform (though in some places only the ladders remain on the trees). Placing fire line around the tree and removing debris and ladder fuels, combined with wrapping the lower portion of the tree with fire retardant fabric or foam can be used to protect the tree and the associated wooden ladders.

<u>Data Management</u>

The Kaibab NF, along with most National Forests, relies heavily on a data base system called INFRA to track heritage records and on the use of mobile units to record archaeological sites. It is recognized that not all archaeologists assigned to a fire on the Kaibab will have the experience or knowledge to work with this type of program or equipment, but it is critical that whoever manages the data collected by the field archaeologist has enough knowledge of these systems to successfully download and store the digital data and paper files.

Setting up Office Procedures

Keep paperwork and records in order. Some things to keep in mind:

- Document survey coverages on master maps at the end of each period. This may be a paper and pencil exercise or, if the incident has the capability, it may be done through a GIS, though it may be advisable to print hard copies of the GIS coverages frequently, if not daily.
- Collect field notes and organize them by date.
- Collect film and photo logs and send film for development.

- Download digital images and collect image logs, store imagery on disks and drives.
- Collect site records and confirm assignment of temporary numbers.
- Download and store GPS data, transfer to GIS, if the incident has this capability
- Write daily narratives of work completed.Include developing issues and issues resolved, discussions with Incident Command staff and Resource Advisor, and decisions made concerning priorities and areas selected for surveys.
- Maintain control over site atlas, site records and any sensitive cultural data relating to sacred sites and traditional cultural properties.

Records to Keep

- Personnel: name, arrival date, assignments, performance, demobilization (date), post incident contact information.
- Site records and daily field notes.
- Survey Coverage Map: running record of areas surveyed annotated with date and surveyor name(s), plot locations of newly recorded sites.
- Documentation of effects: document situations of suppression and wildfire damage to cultural resources.
- Photo logs.
- Ordering information (copies of General Message Forms, requisitions, and receipts).
- Meeting and briefing notes.
- ♦ Every IAP.

Prepare a Report

The Lead Archaeologist will need to prepare a report. Use good professional judgment and defer to local standards; there is no set format. See Appendix F for an example of a report format. The report will be used by unit managers and the follow-up Burned Area Emergency Response (BAER) team, if one is assigned. Copies of the report should go to the Documentation Unit, the Plans Section Chief, the Agency Representative, the ES/R-BAER team leader. However, the reports that are filed with the Documentation Unit, the Plans Section Chief, the Agency Representative, the ES/R-BAER team leader should not include any information that would be considered confidential or sensitive to Native Americans (the Kaibab Tribal Liaison should be consulted regarding sensitive Native American information). However, the sensitive information may be given to the archaeologist assigned to the BAER Team. If there are adverse effects, the SHPO will also need a copy. The report should include:

- Areas surveyed (maps), with dimensions and acres.
- Sites forms and maps showing site locations (this is confidential information which can be incorporated in the body of the report or placed in an appendix titled confidential).
- Areas surveyed before and after impacts.
- Suggestions for suppression and BAER rehabilitation team.

- ♦ Sites damaged from incident activities, as noted.
- Site protection actions taken (from suppression and/or fire effects)
- Consultation records.

The report should be completed within 10 days of control of a wildfire (the date of completion of a report for a Wildfire with a resource benefit strategy or a proscribed burn should be negotiated with the Kaibab NF Archaeologist). Try to keep some archaeologists on the fire to help until the report is complete.

Technical Specifications

GPS

Grid System: Universal Transverse Mercator (UTM) Zone: 12N Datum: Nad 83 Base Meridian: Gila/Salt River

GIS

Arcmap: version 9.2

Digital Camera Pixals: 300

PDAs

Arcpad: version 9.2

Response to Wildfire Rehabilitation

The majority of incident rehab is conducted using heavy equipment to push soil into or "rip" the dozer-lines, though there are occasions where roads opened or safety zones will receive similar treatments. Therefore, it is desirable to conduct a pedestrian survey of all of the dozer-lines and any other locations that are likely to receive rehabilitation efforts at the end of the fire. The pedestrian surveys should identify any new or previously recorded sites and recommend actions that will limit additional damage due to the rehabilitation activities and soil erosion.

In general, the best recommendation is to have the rehabilitation crew avoid conducting rehabilitation activities within the boundaries of a site. This can easily be done by flagging the site boundaries along the dozer-line using the flagging color already identified for heritage resources. Communicate to the rehab crew the locations of the area to be avoided and instruct them to "walk" their equipment through the area with their blades up.

If the site is located in an area that is visible via a public road, rehabilitation of the site is desirable to protect it from off-roaders who may mistake the dozer-lines for roads or trails. In that case, a non-invasive rehabilitation method such as "vertical mulching,"

which is the scattering of dead and/or down pine needles, leaves, branches etc, along the damaged area to visually disguise the disturbed area would be advisable.

If soil erosion is a concern, it is best to document the concern, recommend that the rehabilitation crews avoid impacting the site, then give the recommendations to the BAER team. If no BAER team is going to be assigned to the fire, recommend a non-invasive rehabilitation method and regular follow-up monitoring of the site by the local archaeologists.

All recommendations should be documented using the EMERGENCY POST-FIRE SITE INSPECTION RECORD located in Appendix E.

Burned Area Emergency Rehabilitation

If a BAER Team is assigned to the fire, copies of all documentation and any recommendations should be given to the BAER Team archaeologist. Since BAER is a different action from fire suppression, refer to Appendix H for further information and recommendations.

Demobilization

It is imperative that before an archaeologist is "demobed" from a fire, they must do the following:

- Before the field Archaeologist "demobes" they must turn in all site records, copies of maps, photos, notes and any other relevant documentation to the Lead Archaeologist. Also delete all digital copies of site records, maps and any other confidential or sensitive information from GPSs, laptops, and Mobil units
- Before the Lead Archaeologist "demobes," all digital data, paper records, photos and maps must be organized and reports should be completed and turned over to the local archaeologist and redacted copies of reports should also be turned into the Documentation Unit, Planning Unit and BAER Team.

References

Corbeil, Don

2002 After the Fire: Investigating Fire Suppression Impacts on Historic Resources. PowerPoint presentation:

http://www.blm.gov/heritage/powerpoint/Fire_Corbeil/Impacts%20to%20Historic%20Re sources_2_files/frame.htm

Horn, Steve and Kate Winthrop

2005 Bureau of Land Management Field Guide for BLM Archaeologists Assigned to Wildfires. On file at the Supervisory Office of the Kaibab National Forest.

Matz, Steve 2002 Historic Structure Protection during a Wildland Fire. <u>http://www.blm.gov/heritage/powerpoint/Matz_HistoricStructureProtection_files/frame.h</u> <u>tm</u>

Saleen, Merrill, 2004 Fire Chemicals and Cultural Resources. PowerPoint presentation: http://www.blm.gov/heritage/powerpoint/ARCHEO~1_files/frame.htm

USDA Wildland Fire Chemical Systems Links to information on wildland fire chemicals: <u>http://www.fs.fed.us/rm/fire/</u>

Wildland Fire Chemical Products: (Brief descriptions of chemicals used): http://www.fs.fed.us/rm/fire/documents/defin.pdf

Wildland Fire Chemical Products Effects on Structures: http://www.fs.fed.us/rm/fire/retardants/current/gen/pdf/effstructure.pdf

Winthrop, Kate 2004 Bare Bones Guide to Fire Effects on Cultural Resources for Cultural Resources Specialists: http://www.blm.gov/heritage/Fire%20Effects%20on%20Cultural%20Resources.htm

Appendix A: Kaibab Contact List

Supervisor's office 800 S. 6th ST, Williams, Az 86046 Phone: (928) 635-8200 Fax: (928) 635-8208

Margaret Hangan Forest Archaeologist (928) 635-8342

Mike Lyndon Tribal Liaison (928) 635-8872

Williams Dispatch Center 800 S. 6th ST, Williams, Az 86046 (928) 635-2601

Mae Franklin Navajo Tribal Liaison (928) 679-2037

Williams Ranger District 742 S. Clover Road, Williams, Az 86046 Phone: (928) 635-5600 Fax: (928) 635-5680

Neil Weintraub South Zone Archaeologist for the Williams and Tusayan Districts (928) 635-5647

Lliz Lane Assistant South Zone Archaeologist (928) 635-5635

Tusayan Ranger District Hwy 64/Administrative Site, P.O. Box 3088, Grand Canyon, Az 86023 Phone: (928) 635-2443 Fax: (928) 635-8204

North Kaibab Ranger District 430 S. Main St

P.O. Box 248 Fredonia, AZ 86022 Phone: (928) 643-8100

Connie Reid North Zone Archaeologist (928) 643-8165

Britt Betensen Assistant North Zone Archaeologist (928) 643-8184

Appendix B: List of Fire Sensitive Site Types

This list is taken from the Appendix D: Attachment 2 of the First Amended Programmatic Agreement Regarding Historic Property Protection and Responsibilities Among New Mexico Historic Preservation Officer and Arizona State Historic Preservation Officer and Texas State Historic Preservation Officer and Oklahoma State Historic Preservation Officer and the Advisory Council on Historic Preservation and United States Department of Agriculture Forest Service Region 3 and theWildlands Urban Interface Hazardous Fuels Reduction Protocol.

A review of available literature on the effects on fire on cultural resources and on the experience of FS heritage resource specialists and SHPO staff in the Southwestern Region indicates that there are two categories of fire-sensitive sites. The first consists of sites long-known to be vulnerable to the effects of even low-temperature fires and/or light fuel loads, such as sites that contain organic materials, exposed architecture, etc. The second group includes sites that have generally been considered to have less risk for fire effects in most situations, including prehistoric and historic sites with deeply buried cultural deposits; prehistoric and historic artifact scatters; and prehistoric and historic sites with non-flammable surface features. However, depending on field conditions—especially fuel loading—as well as specific site characteristics and expected fire behavior, these other site types may be fire-sensitive in certain WUI projects.

Known Fire-Sensitive Sites in the Southwestern Region:

- Historic sites with standing, or down wooden structures or other flammable features or artifacts
- Rock art sites
- Cliff dwellings
- Prehistoric sites with flammable architectural elements and other flammable features or artifacts
- Prehistoric sites with exposed building stone of soft or porous material such as volcanic tuff, or limestone
- Culturally modified trees, including aspen art and peeled/scarred trees
- Certain traditional cultural properties (based on consultation with tribes)

Other Project-Specific Fire-Sensitive Sites:

- Other sites, based on local field conditions and Forest-specific concerns
- Other sites, based on consultation with SHPO staff
- Other sites, based on consultation with fire management staff, fire behavior specialists or fire effects researchers

Appendix C: List of Properties Considered Eligible for Inclusion on the National Register of Historic Places

The following is from Appendix B of the First Amended Programmatic Agreement Regarding Historic Property Protection and Responsibilities Among New Mexico Historic Preservation Officer and Arizona State Historic Preservation Officer and Texas State Historic Preservation Officer and Oklahoma State Historic Preservation Officer and the Advisory Council on Historic Preservation and United States Department of Agriculture Forest Service Region 3

LIST OF PROPERTIES THAT MAY BE CONSIDERED ELIGIBLE

For eligibility determinations under the First Amended Programmatic, the following types of heritage resources, provided they are 50 years old or older and clearly retain integrity, may be considered eligible for the National Register of Historic Places under criterion (d) without further SHPO consultation or concurrence:

Properties with clear evidence for the presence of structures (historic structures, pueblos, pithouses, teepee rings, etc.)

Properties with hundreds of surface artifacts

Properties with clearly visible evidence of buried cultural deposits Properties with rock art

Properties that clearly meet the National Register listing requirements in State historic contexts, existing multiple-property contexts, or SHPO-approved Forest-level historic contexts

Other properties will be treated as if eligible, unless the FS chooses to make a determination of eligibility in consultation with the SHPO. The SHPO will monitor eligibility determinations and discuss any problems at the annual meeting.

Appendix D: Site Form Sample

Datum
Datum
Datum
Datum
Datum
Datum

WILDFIRE HERITAGE RESOURCE DESCRIPTION SHEET

Photos Taken: Y/N

Appendix E: Emergency Post-Fire Inspection Record

EMERGENCY	POST-FIRE	SITE INSPEC	CTION RECORD
LINDINGLINCI	I ODI IIII		JIION MECOND

SITE No: 03 – 07 Inspection: Inspector(s):	Temp or other No:	Date of
SITE DESCRIPTION Site Type: Prehistoric Historic UTM (GPS) Z12E Quad: Features Present: List wood/organics (if known to be pr Were they burned/consumed? Y	Multi component N El esent): N	t Other lev: USGS
VANDALISM PRESENT: YES	NO If yes, Recent	YESNOUNKNOWN
SITE PERIMETER WALKE	D YES	NO
SITE BURN SEVERITY Low (duff partially consumed, nor Moderate (duff consumed, ladder Severe (duff, ladder and crown con Note: Map, photograph and describe a	ne to little ladder fuels b fuel burned, isolated cro mpletely consumed) iffected areas of site	urned, no canopy burned) own burn or torching)
FIRE EFFECTS AT SITE Cracking/spalling Smoke/soot damage Stump/root holes Loss of architectural wood/features Fire-killed tree(s) on walls or rubble Other		YES NO
SUPPRESSION IMPACTS TO SIT Dozer line Retardant drop impact Zone Vegetation removal Vehother	E: YES NO t/staining Mopup ticle ruts	Handline Drop point/safety zone Tree falling Spike Camp Safety
EROSIONAL THREATS TO SITE On site slope% Aspect Site Watershed (to 20 m. out) Slope Active Erosion (gully/rilling/scouring p Potential for Erosion: Yes No Duff absent Other	: YES NO o % Aspect o present: Yes No If yes, stump hole/bu	
RECOMMENDED PRESERVATION	DN TREATMENT , describe:(e.g. Directio	onal falling, Straw bale, straw scatter,
Additional comments on back Yes	No	

ADDITIONAL COMMENTS:

Appendix F: Technical Report Format

Technical Report Format

The following is a report format recommendation.

Abstract Introduction Project Description Previous Survey Previously Recorded Sites Newly Recorded Sites Damaged Sites Site Rehabilitation Recommendations National Register of Historic Places Eligibility Recommendations Conclusions

All or some of the categories listed above should be used. New ones can be added if they are relevant to the analysis. Suppression and BAER reports should always be separate documents. The report style for all reports shall follow the SAA format and the Font shall be Times New Roman 12 pt. Photos and maps should be incorporated in the document or attached to the report as an appendix. Both digital and hard copies of all drafts and finals are to be submitted to the Kaibab Forest Archaeologist for review as should copies of all GPS data, GIS data and digital photos. The Forest Archaeologist will be responsible for conducting consultation with the AZSHPO and the Tribes if appropriate.

Suppression reports should be turned into the Kaibab Forest Archaeologist 10 days after a wildfire is declared controlled. However, a later date can be negotiated with the Forest Archaeologist. Due dates for BAER, proscribed burns and Wildfire Use fires will need to be negotiated with the Kaibab Forest Archaeologist.

Appendix G: Ceramic and Projectile Point Identification Guidelines

To Be Developed

Appendix H: Burned Area Emergency Response (BAER) Information

To Be Developed

Appendix I: R3 Amended Programmatic Agreement

PROGRAMMATIC AGREEMENT AMONG USDA FOREST SERVICE, SOUTHWESTERN REGION AND ARIZONA STATE HISTORIC PRESERVATION OFFICER AND NEW MEXICO STATE HISTORIC PRESERVATION OFFICER AND ADVISORY COUNCIL ON HISTORIC PRESERVATION REGARDING WILDLAND URBAN INTERFACE HAZARDOUS FUELS REDUCTION PROJECTS

WHEREAS, the USDA Forest Service and other federal land managing agencies are directed by Congress to implement an accelerated, multi-year program of hazardous fuels reduction as one component of the National Fire Plan; and

WHEREAS, the USDA Forest Service Southwestern Region (FS) is implementing such an accelerated program of hazardous fuels reduction in areas adjacent to the Wildland Urban Interface (WUI), described in Appendix E; and

WHEREAS, the Federal Fire Policy emphasizes that wildland fire is a critical natural process that must be reintroduced into the ecosystem; and

WHEREAS, current, unmanaged fuel loads in many areas support large, hot, uncontrolled, and devastating wildfires that destroy life and property, including historic properties; and

WHEREAS, mechanical treatments, such as thinning, in combination with prescribed fire will reduce fuel loading and stand density in areas adjacent to the Wildland Urban Interface so that wildfires approaching these areas will "go to the ground" where they can be effectively and safely suppressed; and

WHEREAS, hazardous fuels reduction treatments will also help protect historic properties from the devastating effects of catastrophic wildfires, including associated suppression activities and subsequent erosion, such as the fires experienced in the Southwest in the 2000 wildfire season; and

WHEREAS, although beneficial to historic properties over the long-term, various fuels reduction treatments are undertakings that have the potential to affect historic properties, particularly fire-sensitive sites (Appendix B), and steps should be taken to avoid or minimize those effects while accomplishing the objectives of the National Fire Plan; and WHEREAS, the FS has consulted with the Arizona and New Mexico State Historic Preservation Officers (SHPOs) and the Advisory Council on Historic Preservation (Council) pursuant to Section 800.14(b) of the regulations (36 CFR 800, published 12/12/01) implementing Section 106 of the National Historic Preservation Act (16 U.S.C. 470f); and

NOW, THEREFORE, the FS, the Council, and the SHPOs agree that the FS shall implement Wildland Urban Interface (WUI) projects in accordance with the following stipulations in order to satisfy responsibilities under Section 106 of the National Historic Preservation Act (NHPA).

STIPULATIONS

The FS shall ensure that the following stipulations are carried out:

1. SCOPE. This Agreement covers all hazardous fuels reduction activities within WUI areas, described in Appendix E, with the exception of stand-alone commercial timber sales. Activities covered by the Agreement include: hand thinning; mechanical thinning; use of equipment such as Hydro-ax, Agra-ax, and brush crushers, timber sales embedded in thinning contracts; slash disposal, including lopping and scattering, chipping, pile burning, and windrow or jackpot burning; broadcast burning; and fuelwood use, including free use, fuelwood permits, and commercial fuelwood sales undertaken as part of a WUI project.

2. INTERNAL COORDINATION AND TRACKING. The FS shall ensure that heritage specialists are brought into the planning for WUI projects as early as possible in the planning process, but no later than the identification stage, and that a system is in place to track implementation of heritage resource protection and monitoring requirements, and that necessary communication and coordination between fuels treatment specialists and heritage specialists will continue throughout the implementation of WUI projects carried out under this Agreement.

3. TRIBAL CONSULTATION. As early as possible in the planning process, but no later than the identification stage, the FS shall consult with American Indian tribes to determine if any properties of traditional cultural or religious importance are present within the WUI project's area of potential effect. If specific properties are identified, the FS shall consult with the appropriate tribes concerning evaluation, determination of effects, and protection measures. If agreement cannot be reached or if adverse effects cannot be avoided, the FS shall consult case-by-case with interested tribe(s) and the SHPO as provided for in Stipulation 13.

4. PUBLIC INVOLVEMENT. The FS shall use the NEPA scoping process and other means necessary to solicit input on heritage resource concerns and to identify consulting parties as required in 36 CFR 800.3(f).

5. IDENTIFICATION. The Forest Archaeologist shall determine or approve the level of field survey for each WUI project. If less than a 100% survey is proposed, the Forest Archaeologist shall notify the appropriate SHPO of the proposed survey strategy and rationale, using the guidelines in Appendix A. The SHPO shall provide any comments within 10 working days of receipt of the notification. The Forest Archaeologist shall take the SHPO's comments into account in finalizing the survey strategy. The finalized survey strategy will be provided to the SHPO upon request. If no comments are received within the specified timeframe, the Forest Archaeologist may assume that the SHPO does not object to the submittal and may proceed with the identification strategy. Alternatively, a Forest may opt to develop a Forest-wide survey strategy for WUI projects in consultation with the SHPO and thereby eliminate the need for individual project notifications. As experience is gained with WUI projects, the goal is to develop a Region–wide set of WUI survey guidelines which can be incorporated into Appendix A and used in lieu of case-by-case SHPO notification and review.

6. EVALUATION. The FS and the SHPOs agree that certain classes of properties (Appendix C) may be determined eligible for the National Register of Historic Places for Section 106 purposes based on survey information without further, case-by-case SHPO consultation. The eligibility of other properties may remain unevaluated but treated as if eligible, unless the FS chooses to consult with the SHPO on individual eligibility determinations or adverse effects cannot be avoided. The FS shall consult with the SHPO and appropriate tribes concerning the eligibility of any traditional cultural properties identified by the tribes that cannot be protected from project effects.

7. EFFECT. Following completion of the survey strategy approved by the Forest Archaelogist in accordance with Stipulation 5, the FS shall determine the effects of the WUI project on historic properties:

a) No Historic Properties Affected. If no properties are identified within the area of potential effect or if through application of the site protection measures in Appendix D potential effects have been excluded from all eligible and unevaluated properties, and provided that none of the conditions requiring case-by-case consultation specified in Stipulation 13 apply, a determination of "No Historic Properties Affected" will be made for the WUI project in accordance with 36 CFR 800.800.4(d)(1). For prescribed fires, this will include only those projects in which a 100% survey is conducted and all eligible and unevaluated properties will be protected. For other types of activities, if less than a 100% survey is conducted, the discussion of effects will include a rationale addressing the sufficiency of the level of effort.

b) No Adverse Effect. If properties are present but through application of the protection measures in Appendix D potential adverse effects on eligible and unevaluated properties have been minimized to the extent that they do not meet the criteria of Adverse Effect contained in 36 CFR 800.5(a)(1), and provided that none of the conditions requiring case-by-case consultation specified in Stipulation 13 apply, a finding of "No

Adverse Effect" will be made for the WUI Project in accordance with 36 CFR 800.5(b). This shall include prescribed burns in which fire-sensitive properties will be protected.

c) Adverse Effect. If the Forest Archaeologist determines that a property(ies) may be adversely affected, or in the case of a prescribed fire, a fire-sensitive property cannot be adequately protected, the FS shall consult case by case on the WUI project under 36 CFR 800.6, as specified in Stipulation 13.

8. PROTECTION. The Forest Archaeologist shall draw from the protection measures in Appendix D to ensure that effects to historic properties (or fire-sensitive properties for prescribed fire undertakings) are avoided. Site protection requirements shall be documented in the inventory report (Stipulation 10) and on the FS Inventory Standards and Accounting (IS&A) form.

9. MONITORING. Terms and conditions of Section 106 compliance shall include appropriate post-project monitoring to assess effectiveness of protection measures in accordance with FSM 2361.28.5, including monitoring 20% of protected fire-sensitive sites in prescribed burn areas. In addition, each Forest will incorporate into the inventory report for at least one WUI project each year the requirement to monitor a minimum of 20% of sites not considered fire-sensitive within the burn area. For this monitoring, Forests will select WUI projects that offer good opportunities to assess the effects of prescribed fire on the types of sites not normally protected during burn implementation. Alternatively, the Forest Archaeologist may develop, in consultation with the SHPO, a different monitoring strategy for a WUI project or group of projects. The purpose of post-treatment monitoring is to gather data that will be used to improve planning for protection of heritage resources in future WUI projects. Forests are encouraged to monitor a sample of sites not considered fire-sensitive in more than one WUI project per year when feasible to expand available information on the effects of prescribed fire on historic properties. Site-specific monitoring requirements will be documented in the inventory report and on the IS&A form. Each Forest shall maintain an updated list of sites to be monitored which will include the date monitoring is planned, date completed, and monitoring results. This list and a summary of monitoring results will be included in the annual summary report to SHPOs and the Council (Stipulation 18).

10. INVENTORY REPORT. Inventory reports shall follow standard FS and SHPO reporting guidelines. For WUI projects, the FS shall also ensure that reports include: a description of all planned activities, equipment to be used, and expected impacts; a discussion of fuel loading and expected fire behavior if prescribed burns are planned; a detailed discussion and rationale for the survey strategy if less than 100%, including a rationale for what is considered "fire-sensitive" (Appendix A.2); a summary of the inspection of fire-sensitive sites and any other sites to be monitored, including site-specific fuel loading; site-specific protection measures, and site-specific monitoring requirements.

11. APPROVAL. When all of the above stipulations are complied with and the inventory report has been approved by the Forest Archaeologist, and provided that none of the conditions requiring case-by-case consultation specified in Stipulation 13 apply, the Forest Supervisor may approve the report and proceed with the undertaking, provided all site-specific protection measures are implemented. The Forest Supervisor shall forward a copy of the report, IS&A form, and associated site forms to the SHPO within 30 days, unless otherwise agreed to with the SHPO.

12. SHPO AND COUNCIL REVIEW. The SHPOs will programmatically review results of work conducted under this agreement for discussion at the annual meeting (Stipulation 19). If, at any point, a SHPO identifies a concern about how the stipulations of this Agreement are being applied, the SHPO may submit comments to the FS for consideration in future projects. If a SHPO or the Council has a substantial concern that historic properties may be adversely affected in a specific WUI project, the SHPO or Council may contact the Forest Archaeologist or Forest Supervisor to resolve those concerns. If the concerns are raised prior to the NEPA decision, and are not resolved, the SHPO or the Council may request that the FS consult case-by-case as provided for in Stipulation 13. If the NEPA decision for the WUI project has already been made, the FS shall take into account the SHPO/Council comments for those actions not yet completed.

13. CASE-BY-CASE CONSULTATION. The FS shall consult separately under the Region 3 *Programmatic Agreement Regarding Cultural Property Protection and Responsibilities* or the Council's regulations for any WUI Project where:

- a. the FS chooses to consult case-by-case
- b. a substantial public concern exists about effects of the project on historic properties such that the expedited review procedures in this Agreement cannot ensure that those concerns will be adequately considered
- c. a disagreement exists with an Indian tribe concerning adverse effects on a property of traditional cultural or religious importance to the tribe
- d. the FS determines that it is likely that adverse effects to eligible or unevaluated sites cannot be avoided using the protection measures in Appendix D.
- e. a SHPO or the Council requests that the FS consult case-by-case based on a substantial concern that historic properties may be adversely affected, as provided for in Stipulation 12.

In case-by-case consultations for WUI projects, the definition of "Adverse Effect" and the consultation procedures to resolve adverse effects, 36 CFR 800.6, will be those contained in the current (12/12/00) regulations.

14. DISCOVERY SITUATIONS. There is some potential for encountering previously unrecorded properties or for affecting properties in an unanticipated manner during the course of hazardous fuels reduction treatments. Previously unrecorded properties that are encountered during the course of a WUI Project shall be protected in the same manner as other properties, using the protection measures in Appendix D. If the FS determines that a property has been damaged, the FS shall halt all activities that could result in further damage to the property and shall notify the appropriate SHPO concerning proposed actions to resolve adverse effects. The SHPO shall respond within 48 hours of notification. The FS shall carry out the agreed-upon actions.

15. PHASING FOR CRITICAL WUI PROJECTS. In recognition of the difficulties inherent in initial implementation of an accelerated hazardous fuels reduction program, it is agreed that in FY 2001 and FY 2002, a phased approach may be used to expedite WUI Projects that directly border communities and residential areas in order to protect life and property. A phased approach should be used only when it is not reasonably possible to complete Section 106 compliance for all aspects of the undertaking prior to reaching a NEPA decision. Where deemed necessary by the Forest Supervisor, consultation for such a project may be carried out in two phases: 1) Fuelbreak Area - the initial creation of fuelbreaks within ½ mile of communities and residential areas using mechanical treatments, usually followed by burning; and 2) Non-Fuelbreak Area – an adjacent area, usually treated in a subsequent year with broadcast burning or a combination of thinning and burning to reduce hazardous fuels leading up to the fuelbreaks. In this phased approach, a final NEPA decision on the WUI project may be made prior to completion of the identification and evaluation of properties in the Non-Fuelbreak Area provided that all of the following requirements are met:

- a. none of the conditions in Stipulations 13 apply to the WUI project
- b. the requirements in Stipulations 3-7 have been completed for the Fuelbreak Area
- c. the expected nature and distribution of properties in the Non-Fuelbreak Area and anticipated effects are discussed and considered in the inventory report and in the NEPA analysis;
- d. there is no reason to believe that the protection measures in Appendix D will not be sufficient to protect properties in the Non-Fuelbreak Area.
- e. a condition of the forest satisfying responsibilities under Section 106 for the WUI Project, clearly stated in the inventory report and on the IS&A Form, is that the identification and protection requirements of this Agreement, including the written approval of the Forest Archaeologist and Forest Supervisor, shall be completed prior to the award of any contract, permit, or other authorization for on-the-ground work in the Non-Fuelbreak Area.
- f. the NEPA decision document clearly states that initiation of work in the Non-Fuelbreak Area will be contingent upon completion of the identification and protection of historic properties and compliance with applicable provisions of NHPA in accordance with this Agreement.

If the FS subsequently determines that adverse effects on historic properties in the Non-Fuelbreak Area cannot be avoided, the FS shall consult with the SHPO and other consulting parties in accordance with the requirements of 36 CFR 800 and will amend its decision if necessary to disclose the effects.

16. DATA MANAGEMENT. The FS shall incorporate survey and site information gathered under this Agreement into its corporate tabular (INFRA) and spatial (GIS) data base for heritage resources and shall make these data available to the SHPOs or relevant

state agencies for incorporation into State-wide databases. The FS will continue to work with the SHPOs to coordinate and expedite data sharing. When possible, GIS maps will be made available to the Fire Use Manager or Burn Boss for use in implementation of prescribed fires and in the event of an escaped fire.

17. SECRETARY'S STANDARDS. The FS shall ensure that work under this Agreement is carried out under the supervision of a person or persons meeting the Secretary of the Interior's Historic Preservation Professional Qualifications Standards.

18. ANNUAL SUMMARY REPORT. The FS shall document the combined results of work conducted under this Agreement in a separate part of the Region's Annual Report submitted to the SHPOs and the Council pursuant to the Southwestern Region's 1990 *Programmatic Agreement Regarding Cultural Property Protection and Responsibilities.* The report shall include Forest-specific summaries of the results of monitoring, including the effectiveness of the identification strategies and protection measures and any changes proposed to make these more effective. The report shall be submitted to the SHPOs and the Council prior to the annual meeting (Stipulation19).

19. ANNUAL MEETING. Prior to March 1 of each year, as part of the annual meeting carried out pursuant to the Southwestern Region's 1990 *Programmatic Agreement Regarding Cultural Property Protection and Responsibilities*, the FS, the SHPOs, and the Council, if it chooses to participate, shall review the activities carried out pursuant to this Agreement, reevaluate its terms, and determine whether continuation, amendment, or termination is appropriate.

ADMINISTRATIVE STIPULATIONS

REVISION OF APPENDICES

Any signatory to this Agreement may request modifications to Appendices, without a formal amendment, whereupon the parties will consult to consider such change. Changes may be made by written consent of the Regional Forester, SHPOs, and Council after appropriate consultation.

AMENDMENTS

Any signatory to this Agreement may request that it be amended, whereupon the parties shall consult to consider the amendment.

TERMINATION

Any signatory to this Agreement may terminate it by providing thirty (30) days notice to the other parties. The signatories will consult during the period prior to termination to seek agreement on amendments or other actions that would avoid termination. In the

event of termination, the FS shall comply with the Region's 1990 *Programmatic Agreement Regarding Cultural Property Protection and Responsibilities* with regard to individual undertakings that otherwise would be covered by this Agreement. Termination by an individual SHPO shall only terminate the application of the Agreement within the jurisdiction of that SHPO.

EXPIRATION

This Agreement is executed as of the last date shown below and expires in five years at which time it is subject to review, renewal, or expiration. If the FS wishes to extend the life of the Agreement past the five-year period, the FS will contact the SHPOs and Council at least ninety days prior to expiration with its recommendation to either amend the Agreement or extend its term without revision. Based on comments received from the SHPOs and Council, the FS will make any needed changes to the Agreement and circulate the new document for review and signature.

EXECUTION

Execution and implementation of this Agreement satisfies the Forest Service's Section 106 responsibilities for all WUI projects in the Arizona and New Mexico that are treated in conformance with the stipulations herein.

IMPLEMENTATION

This Agreement becomes effective on the date of the last signature below and will be implemented immediately.

/s/ JAMES T. GLADEN	7/12/01	
Eleanor S. Towns, Regional Forester USDA Forest Service – Southwestern Region	Date	
/s/ JAMES W. GARRISON	7/16/01	
James W. Garrison, State Historic Preservation Officer State of Arizona	Date	
/s/ ELMO BACA	7/13/01	
Elmo Baca, State Historic Preservation Officer State of New Mexico	Date	
/s/ JOHN M. FOWLER	7/19/01	

John M. Fowler, Executive Director Advisory Council on Historic Preservation

Date

Appendix J

A Revised Strategy for Evaluating Heritage Resources and Providing Input to the Wildland Fire Decision Support System (WFDSS)

Kaibab National Forest, Arizona By

Neil S. Weintraub and Margaret Hangan Kaibab National Forest February, 2010

INTRODUCTION

This report proposes to update the 2007 consultation procedures for evaluating heritage resources to reflect the new changes in the Federal Wildland Fire Management Policy. Previously, the Kaibab National Forest's "A Revised Strategy for Evaluating Heritage Resources and Providing Input to the Wildland Fire Implementation Plans" (Weintraub and Hanson 2007[project number1999-07-55]) contained a strategy which ensured that the Kaibab National Forest complied with the Section 106 consultation process when fire managers chose to manage unplanned ignitions (ie lightning strikes) as "Wildland Fire Use." Recently there have been changes in the approach to gathering information for the management of unplanned ignitions. The changes are primarily that the processes previously known as the WFSA (Wildland Fire Situation Analysis), Wildland Fire Implementation Plan (WFIP), and Long-Term Implementation Plan (LTIP) are now subsumed under the Wildland Fire Decision Support System (WFDSS). WFDSS is a shared web based system for managing information and data for individual fires. The purpose of the system is to streamline the approach to gathering information to be used for making decisions regarding the management of unplanned ignitions previously known as "Wildland Fire Use."

Along with the WFDSS also comes a change to managing unplanned ignitions using a fluid mix of various tactics and tools coupled with varied, staged responses with the primary object of maintaining a high level of human safety and sensitivity towards resources issues and needs. WFDSS refers to this approach as "managing for resource objectives."

On the Kaibab, though there has been a change in procedures, there is no change in the strategy that archaeologists will take in regards to unplanned ignitions and/or wildfires. As in the old strategy, fire managers will notify Archaeologists at the time the unplanned ignition is located so they can provide data for the WFDSS. The objective will be to manage the fire for no adverse effects and to benefit biological and cultural resources. The archaeologists will also continue the detailed end of the year "Kaibab National Forest Heritage Fire Report" that documents all fire incidents and the results of protection, effects, and monitoring efforts.

HERITAGE RESOURCES AND FIRE ON THE KAIBAB

There is a great need for the Forest Service to introduce fire to the landscape as a method of lessening the likelihood of catastrophic fires in the future. Allowing the Forest Service to manage wildland fire is an effective tool for reducing the threat of uncontrolled wildfires and for reducing heavy fuel loads on heritage properties which could cause adverse effects if allowed to continue to build up. During the past ten years, archaeologists on the Kaibab National Forest have successfully implemented a strategy for managed fires, now called unplanned ignitions, that was first outlined in previous strategy reports such as Hanson and Farnsworth 1999 and Hanson and Weintraub 2007. Since 1999, Kaibab fire managers have treated thousands of acres and hundreds of archaeological sites have had fuels reduced on or around them. The results of this strategy were reported in Hanson 2003, McNamee 2005, Lyndon 2005 and 2006, Reid 2003a and 2003b, Weintraub and McNamee 2004, Weintraub 2003, 2005a and 2005b, Lyndon 2007, Hangan et al 2008a, Hangan et al 2009.

MANAGEMENT OF FIRES FOR RESOURCE OBJECTIVES PROCEDURES

In 2009, the Forest Service implemented the Wildland Fire Decision Support System (WFDSS) and the concept of managing fires for resource objectives. Managing fires for resource objectives is defined as the management of naturally ignited wildland fires to accomplish specific pre-determined resource management objectives in defined geographic areas. Managing fires for resource objectives will occur according to provisions contained in the Forest Land Management Plans and Fire Management Plan.

Forest Land Management Plans form the foundation for wildland fire programs. These plans contain the basic information such as identification of land areas, levels of resource use and predicted outputs, and identification of suitable resource management practices. Issues and opportunities are identified and acceptable alternatives are selected that address fire management needs for the areas covered by the plan. The Kaibab is currently in the process of revising its Forest Plan.

The next tier in the process is the preparation of the Fire Management Plan, which is the primary tool for translating the programmatic direction contained in the Forest Land Management Plan into an on-the-ground strategy. The Fire Management Plan for the Kaibab National Forests contain maps as appendices of any areas that archaeologists determine should be excluded from wildland fire use implementation because of cultural resource concerns. Concerns may include high concentrations of site types that are considered sensitive to fire such as rock art (as in Kanab Creek for example), rock shelters, cliff dwellings, historic sites containing wood (including logging railroad lines), and limestone structures in areas of high fuel-loading or un-inventoried areas thought to have a high potential to contain sensitive sites. The Fire Management Plan also contains the Kaibab Guideline for Archaeologists on fires.

After an ignition is discovered, the Wildland Fire Decision Support System (WFDSS) is implemented. WFDSS is intended as a method of gathering the information needed to assist fire managers and analysts in making strategic and tactical decisions for fire incidents. It is designed to replace the WFSA (Wildland Fire Situation Analysis), Wildland Fire Implementation Plan (WFIP), and Long-Term Implementation Plan (LTIP) processes with a single process that is easier to use, more intuitive, linear, scalable, and progressively responsive to changing fire complexity.

The WFDSS will be initiated for all wildland fires. After inputting information from various specialists and employing a variety of web based tools, fire managers use WFDSS to produce a progressively developing plan that documents the analysis and selection of strategies, and describes the appropriate response for managing a fire for resource objectives.

CULTURAL RESOURCES AND THE WFDSS

Archaeologists will give information for and be involved at all levels and stages of the WFDSS planning effort. When an unplanned ignition is located, archaeologists will conduct a review of existing site records to determine if known sites exist in the area of the fire and which of the know sites are fire sensitive. WFDSS will provide the initial fire assessment information such as topography, fuel loading, fuel models, and forecasted fire behavior, all of which will be considered in the evaluation of the threats or benefits of an unplanned ignition to cultural and other sensitive resources.

In the event that a fire managed for resource objectives is proposed in an area with little or no cultural resources information, two approaches may be taken:

- 1) If archaeologists have sufficient reason to believe the area contains high cultural concerns as outlined above, then suppression efforts should be recommended to line officers;
- 2) If there is uncertainty about the heritage concerns, a team of red-carded archaeologists and fuels specialists will visit the project area in advance of fire spread, conduct reconnaissance inventories for fire-sensitive heritage sites and determine whether suppression efforts should be considered.

Should the line officer decide on a "go decision," a strategic plan using information gathered for the WFDSS is completed to provide managers with information to initiate and continue short-term management of the wildland fire. Then a complexity analysis is conducted to determine the overall complexity of the fire. Cultural values are included in the complexity analysis as a weighted element. The information will be extracted from information provided by the archaeologists in the initial planning efforts, and will be considered along with fire behavior predictions. Heritage and other resources input will also be incorporated into short-term implementation actions.

If the fire is meeting resource objectives, then the strategic plan is updated to provide the full long-term implementation actions necessary to manage the wildland fire to accomplish identified objectives. It is at this response level that an acceptable geographic size of the fire is defined, and long-term fire behavior predictions are made. Heritage resources objectives, constraints, and considerations will need to be reviewed, developed, detailed, and incorporated into the strategic plan. At this level, it is critical that archaeologists evaluate whether they have the resources available to manage an even larger area as the boundaries are considered fluid and can be expanded if the fire continues to meet resource objectives (Also see the 2009 revised Guidance for Implementation Federal Wildland Management of Fire Policy http://www.nifc.gov/policies/guidance/GIFWFMP.pdf). If archaeologists determine that they do not have the resources to manage a larger fire, or if there is a proposal to expand the boundaries of a fire into an area where there is uncertainty about the heritage concerns the approaches enumerated above will be followed.

CULTURAL RESOURCES RATIONALE

The Kaibab proposes to continue to protect cultural resources during an unplanned ignition using essentially the same strategy outline in Hanson and Weintraub 2007. Fire sensitive site types will include rock art, rock shelters, cliff dwellings, and a variety of historic site types that contain wood. In addition, based on local fuel loading conditions, sites with limestone masonry may also be considered fire sensitive, as severe spalling may occur to these archaeological features. All of the above site types would be identified during the implementation of a fire for resource objectives using the existing Forest site records data bases. Recommendations for protection measures, archaeological field inspections, additional inventory needs, and monitoring requirements will be provided for use in the WFDSS planning process.

Protection measures for sensitive sites include but are not limited to the exclusion of areas with a high density of sensitive sites, hand or dozer fire lines, use of existing roads or trails as fire lines, use of topographic features or geological features as natural barriers, foam lines, fire-proof wraps, or burning out to create a black line around a site. In the case of dozer constructed fire lines, an archaeologist will survey the proposed line prior to construction, try to flag and avoid sites, and submit the survey information to the archaeologist for inclusion into a final report (Hangan et al 2008b).

TRIBAL INVOLVEMENT

The Kaibab National Forest has conducted consultations over the years on numerous prescribed burning projects, wilderness fire plans, forest restoration projects, and Wildland fire use. Tribes consulted include the Hopi Tribe, the Navajo Nation, the Pueblo of Zuni, the Havasupai Tribe, the Hualapai Tribe, the Yavapai-Prescott Tribe, and the Kaibab Band of Paiute Indians. In summary, there is recognition that fire is a component of most vegetation types in the Southwest, and as such plays a critical role in

the maintenance and perpetuation of vegetative diversity which may include plants important to traditional practices. Responses to various proposed actions for projects involving fire have indicated support for the reintroduction and carefully managed use of natural fire with appropriate protection measures for sensitive sites. It is recommended that in the case of managing a fire for resource objectives, at the earliest time, the appropriate Tribe(s) be notified if the fire is in an area known to be culturally sensitive or important to them and determine if there are concerns with implementation or areas that need to be protected. The results of these consultations will be incorporated into the WFDSS.

MANAGEMENT OF FIRES FOR RESOURCE OBJECTIVES EXCLUSION AREAS

Snake Gulch and the Kanab Creek Wilderness have been identified as exclusion areas on the Kaibab National Forest because of the presence of a high density of sensitive rock art sites. The Forest will have the option to review exclusion areas, and modify, eliminate, or designate new ones on an annual basis for inclusion into the Fire Management Plan.

MONTORING STRATEGY

After 10 years of implementation, we believe that at the end of the fire, Archaeologists should monitor all fire sensitive sites where they took protection measures. In addition, archaeologists will monitor up to 20% of the sites not considered fire-sensitive (such as artifact scatters) to ensure that they suffered no adverse effects.

REPORTING REQUIREMENTS

Given the potential increase in unplanned fires in coming years, we propose that Kaibab Archaeologists continue to submit a report to the State Historic Preservation Officer at the end of each fiscal year. This report will document the specifics of all unplanned ignition projects, the cultural resources evaluation, recommendations, any inventory that may have occurred as a result of dozer line construction or fire management, and cultural resources needs, effects and monitoring results.

CONCLUSION

Kaibab Archaeologists will provide information for the WFDSS and continue to manage fires with the goal of no adverse effects and for the benefit of the cultural resources. As stated in the Introduction of the current Wildland Fire Management Policy (2009: 6)., "(t)he circumstances under which a fire occurs, and the likely consequences on firefighter

safety and welfare, natural and cultural resources and values to be protected dictate the appropriate response to fire" When implemented successfully, managing wildland fire for resource objectives reduces fuels on and around cultural resources thus helping prevent adverse effects from future potential catastrophic wildland fires.

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Appendix K: North Kaibab Fire Procedures

North Kaibab Ranger District On-District Archaeological Support to Fires

Overview

Archaeologists play a major role in Appropriate Management Response (AMR) activities in the Southwestern Region. While all sites can suffer impacts from the heat of a wildland fire, sites with intact features are particularly susceptible to damage from AMR activities. In an effort to minimize damages to archaeological sites as a result of initial attack, it has become common for archaeologists to be assigned to fires to help direct heavy machinery around sites during line construction, as well as to advise overhead personnel about the location and particular nature of sites within the fire area. When possible, measures can be taken to either actively protect a site, or minimize damage. Ground disturbance to sites resulting from suppression efforts can generally be avoided or minimized by directing heavy machinery and line construction activities around the site.

Each season, several members of the North Kaibab Heritage Program are red-carded to work as archaeologists on district fire assignments. Sites within the pinion-juniper and grassland zones of the district are particularly vulnerable to disturbance by heavy machinery. Many pueblo habitation ruins are found in these zones. Often these sites are highly visible and can be readily avoided simply by directing heavy machinery around the site. The same is true for historic sites such as old cabins, corrals, dumps, etc... Artifact scatters can be more problematic. In many locations on the district, particularly in the pine and fir zones, site boundaries may be fairly discreet. However, in the pinionjuniper and grassland zones, as well as on some open ridge systems and meadows, "cultural landscapes" extending across many acres may be encountered. In these instances, archaeologists should try to avoid artifact concentrations and features, but it may not be possible to completely avoid running a machine line through the site. Fortunately, in most instances damage is not extensive. A damage assessment can be prepared when the fire is contained.

Archaeologists can also participate as resource advisors and as field assistants helping minimize damage to other sites not necessarily susceptible to machinery. For example, brush can be pulled back from known rock art sites to limit spalling and blackening of the rock art, and firebreaks can be built around eligible historic structures in advance of the fire if time permits. Historic structures can also be "cloaked" with fire retardant materials in an effort to protect the structure and protected by sprinkler systems.

Following the containment of the fire, archaeologists may participate in completing fire line surveys of areas not inspected during the fire as well as recording new sites identified during the fire or post fire assessment phase. This will include preparing damage assessment for any sites damaged by suppression activities, and in some cases, conducting site stabilization for damaged sites.

North Zone Initial AMR Procedures 2008

Connie Reid, North Zone Archaeologist will assume general advisory responsibility for Heritage Resources on North Kaibab wildland fires. However, Britt Betenson, Assistant North Zone Archaeologist may also serve as the lead *Archaeologist-Technical Specialist* if available. Personnel assigned to a fire specifically to work as an archaeologist are officially titled *Technical Specialists*. Connie and/or Britt are responsible for working with red-carded archaeologists/para-professionals assigned to the fire. All archaeologists or paras assigned to work on the fire line with dozers during initial attack and prior to full containment of the fire will be red-carded at the arduous fitness level. Connie or Britt may also serve as a *Resource Advisor* for a wildland fire that has lots of heritage sites. *Resource Advisors* are assigned by a District Ranger to represent all district resources that may be affected by the fire. An archaeologist is most likely to be assigned as *Resource Advisor* when heritage sites are the most threatened resource in a fire area (e.g. pinyonjuniper zone).

Arduous red-carded district field personnel (archaeologists and paras) will be assigned to district fires as *Technical Specialists* to direct machinery around sites. It is best for archaeologists and paras new to fire suppression to shadow an experienced person before working independently. Working with another seasoned archaeologist is preferable. However, some dozer bosses are very familiar with working with archaeologists and can also provide guidance. It is recommended that individuals operating as archaeological specialists on a fire have exposure to basic line fire fighting as well, so that they have actual field experience with fire situations.

In the event that heavy machinery is deemed necessary to contain a fire on the district, Williams Dispatch Center will call for a red-carded archaeologist. It is important for redcarded crewmembers to monitor their radio during working hours at the height of fire season and be particularly poised to respond if they hear that a dozer is being "staged" for use. It is acceptable for red-carded archaeologists to check in with Dispatch to indicate their general location of where they are going to be working and their availability to respond if the district is staging a dozer. However, often times, fire managers may stage a dozer then opt to not use it. Red-carded folks should continue to do their regular job until they hear from Dispatch (DO NOT RESPOND TO A FIRE UNLESS DISPATCH HAS OFFICIALLY DISPATCHED YOU). If the dozer is not needed, an archaeologist will generally not be requested. Exceptions to this may occur if the district is looking for an extra fire fighter due to a shortage on red-carded personnel. In those situations the archaeologist may be asked to participate as a crewmember. It is important during fire season to carry fire gear in the crew vehicle. If there is only one crew vehicle, someone may have to meet the archaeologist at a designated location or a crewmember may have to transport the individual. If an extra Heritage vehicle is available, the archaeologist should utilize that.

There may be instances where there are more dozers operating than arduous red carded archaeologists or paras. In that situation, areas of high heritage sensitivity will receive priority over areas of low sensitivity. Low sensitivity areas will be assessed when there is staff available or prior to the AMR rehab. As a general rule, fires occurring in the pinyon-juniper zones, pinyon-juniper/ponderosa pine transition zones have a higher sensitivity than the ponderosa pine or mixed conifer portions of the District. Shrub lands and canyon areas such as Snake Gulch and Kanab Creek also contain sensitive resources. There are some significant historic sites in the tall timber zones, such as historic cabins and lookouts. The District fire management staff is aware of these locations and will be working with District archaeologists to protect these sites.

Once Dispatched

Once you have been officially dispatched, you must report in full PPE with a RADIO and a HAND TOOL. Call dispatch on the radio and let them know that you can respond and find out who the IC (Incident Commander) is for the fire so you know who to contact when you arrive at the fire. When you leave to go to the fire call dispatch and let them know you are enroute and your estimated time of arrival. You may need to ask dispatch for the location of the fire (road junction) so that you know where to report. When you arrive at the fire, tie in with the IC. The IC will assign you to the dozer or the hand crew. Call dispatch and let them know you have arrived at the fire and with whom you are tying in with (you will most likely remain with the dozer or hand crew for the duration of the fire, however, if the situation changes let dispatch know your whereabouts). Instructions will be provided on where to meet the dozer operator/boss.

You will likely work with the dozer boss ahead of the dozer. If a dozer is used you will help survey a line for the dozer to create that avoids impacting sites. Often you will survey in front of the dozer while the dozer is moving behind you at a safe distance. Though not desirable, there are instances when a dozer boss is not present because the dozer operator is a dozer boss qualified or a dozer boss is simply not available. In any fire situation a person is responsible for his or her own safety but this is particularly critical when working as an archaeologist with no dozer boss. You must be on guard at all times. Work out a set of communication rules with the dozer operation first (i.e., hand signals for stop/go or that you will be crossing drainages so that the dozer must hold up until you safely reach the other side of the drainage). Make sure that the dozer operator can see you. Put bright flagging on your pack/hand tools, etc...

Safety (adapted from the KNF Fire Guidelines for Archaeologists)

Working with the Bulldozer:

As noted above, the role of an archaeologist working with a bulldozer or any other heavy equipment is to try to identify and avoid impacting any heritage resources that might be in the path of the equipment activity areas. Work closely with the dozer boss and/or

swamper as he/she surveys the line and make sure they know where you are located at all times.

- Route equipment around sites when possible. However, as the person responsible for the safety of the equipment and operator, the dozer boss has the final say.
- ♦ Stay in radio contact with the dozer boss and/or swamper.
- Never ride on a dozer or get on or off moving equipment unless there is a life threatening emergency.
- Never approach a dozer without ensuring the operator sees you first. Make sure he/she sees you and approach only after he/she signals you to do so.
- Stay at least 100 feet ahead of or 50 feet behind equipment.
- Watch for falling trees. Stay 2 ½ times the height of the falling tree away. More is better.
- Stay visible; tie bright flagging to your tool. Think of what the operator can see. Can he/she see you?
- For night operations have a strobe light attached to your pack, or at least a glow stick and headlamp. The NKRD dozer operator has purchased lights specifically for your use in these situations. These lights are also useful during day time operations when conditions are very smoky.
- Never stand or work down slope from a working dozer.
- Wear ear and eye protection and a hardhat around equipment.
- Avoid tunnel vision when surveying ahead of dozers. Stop and look around from time to time. Maintain situation awareness.

As a general rule, because of safety concerns, the Kaibab does not encourage archaeologists to work with heavy equipment at night. Instead, it is recommended that the archaeologist brief the dozer boss and/or swamper and operator at the end of the shift regarding any archaeological concerns. A survey of the line that was constructed during the night operations can be completed the following day if the area is safe for entry.

Other Fire line Safety Concerns

It is important for all archaeologists to be familiar with the *10 Standard Firefighting Orders and 18 Watch Out Situations*, know their LCES and be continually mindful of their situational awareness while on the fire line. **Therefore all Archaeologists should carry a copy of the** *Incident Response Pocket Guide (IRPG)* in their field packs. They should also continually ask themselves the following questions:

- Safety Zones: Where are they, are they large enough, can I get to them, how long will it take?
- Escape routes: Are they identified, are there enough of them?
- Building fire line downhill with fire below (Watch Out No 9).
- Going indirect with unburned fuel between you and the fire (Watch Out No. 11).
- Terrain and fuels make escape to safety zones difficult (Watch Out No. 17).
- Other considerations:
- Do I have good communications with someone who can see the fire?

- What is the weather doing? Are there wind shifts, is it getting hotter and drier?
- What are the fuels like: flashy, dry? What is the terrain like: steep, canyons?
- Have I been briefed on weather, tactics, hazards, area resources, command?

Situational Awareness Examples

- Weather change, wind shifts, drying trends, thunderstorms, thermal belts, or lifting inversions are occurring.
- There is active fire in the area. Where is the fire? What is it doing (behavior, fuels, and rate of spread?
- Are there tree felling activities underway, or dead trees and snag hazards present?
- Where are vehicles and other equipment operating (narrow roads and limited visibility)?
- ♦ Air operations: sling loads, bucket drops, and tankers are operating.
- Firing operations: backfires and burnouts are underway.
- Where are designated escape routes and safety zones?

Note of Caution: Archaeologists are trained to look down at the ground. While this is necessary to locate sites, be aware of your surroundings at all times. Dozers knock down trees and vegetation, so it is very important that you keep abreast of what is going on around you and above you. Work closely with the dozer boss. They have specialized training and experience. Follow their instructions.

When you finish surveying/clearing the dozer line or other fire archaeology duties and the fire personnel no longer need you, let the IC know and they may release you from the fire (DO NOT just leave when you think you are finished. You MUST ask the IC if you are no longer needed). When the IC releases you from the fire call dispatch and let them know you're leaving the fire. When you arrive back at the district office/work station call dispatch and let them know you have arrived. If you are going off duty call dispatch and let them know you are out of service.

Locating and Documenting Archaeological Sites

Ideally, there will be someone in the North Kaibab Office that can pull records and send topographic maps out to the field. However, field maps may not be available until after the fire lines are constructed. This is a very common scenario. Archaeologists frequently have to rely on their site identification skills and review maps and records following the fire. This is particularly common on small fires. As soon as feasible, topographic maps showing known site locations, as well as site forms and or descriptions will be provided to assist you in the field. *The District HR program will be obtaining GPS units that store site location data as well as topographic maps. These units will be available for check out from the HR office at the onset of an assignment. It will be required that the units be promptly returned to the HR office once the fire is over.*

Flagging/marking any sites that you identify along the way is helpful in relocating the site after the fire is contained. If possible (and safe), obtaining a GPS location for a site while surveying the line will make it easier to relocate the site later. It is not mandatory that you bring a GPS unit to an active fire, although having a GPS unit is preferable and in some instances necessary. The District archaeologist and/or Resource Advisor will provide you with site data as soon as possible if GPS units and maps are not available at the time you are dispatched.

Once the fire is contained, archaeologists will document any newly located sites as well as prepare damage assessments under the guidance of Connie and Britt. Site documentation is frequently done by the red-carded archaeologist immediately following the fire. Paras cannot independently document sites; however they can assist archaeologists in that task. If sites are discovered during the fire, they will be documented using standard documentation procedures and forms *after the fire is contained*, including getting a GPS site location. The District attempts to GPS all mechanical fire lines when suppression efforts are completed. This data can be used to determine where the line was placed once the data is processed. It can then be used to generate survey maps for the archaeological report that will be compiled post fire.

Once the line is in place, the assigned archaeologist or para should check in with Connie or Britt for further directions on how to proceed.

Appendix L: Job Hazard Analysis

					FS-6700-7 (11/99)
U.S. Department of Agriculture	1. WOR	1. WORK PROJECT/ACTIVITY		2. LOCATION	3. UNIT
Forest Service	Hei	Heritage Resource Surveys o			
	Fire	Fire Assignments		Kaibab National Forest	All
JOB HAZARD ANALYSIS (JHA)	4. NAME	E OF ANALYST		5. JOB TITLE	6. DATE PREPARED
References-FSH 6709.11 and -12	Marga	ret Hangan		Heritage Program Manager	
(Instructions on Reverse)	Holly	Cleindienst		Forest Fuels Specialist	09/17/2009
	j .				
7. TASKS/PROCEDURES		8. HAZARDS		9. ABATEMENT ACTIC Engineering Controls * Substitution * Admin	NS nistrative Controls * PPE
Vehicle travel on Forest Roads and Highwa	ays.	Diverse road and weather conditions, fatigue, traffic which could lead to vehicle damage, personal injury or even death	Wear at all Keep clean afterr cause poste breat drivir	seat belts at all times. Drive defer times. Watch for other vehicles, p vehicle cab free from trash and clu . Drive posted speed limit or below toon light, dusty conditions, mud, e condition that require you to driv d speed limits. Carry a radio with is down or becomes stuck. Do not ag time in one day. Do not exceed	nsively. Use headlights edestrians and wildlife. utter. Keep windshields and loose gravel may e much slower that you in case you vehicle exceed 10 hours of 2:1 work to rest ratio.
Foot travel to fire.		Rough, uneven, steep, slippery terrain.	Be aw obstac	are of your surroundings. Choose the cles. Flag any hazards encountered an	path with the fewest d notify line supervisor.
Implementation of the 10 Standard Fire Ord 18 Watch Out Situation, and LCES.	ders,	Fire entrapment, caused by storms/cold fronts, change in fire behavior, snags, spot fires, rolling materials, fatigue which could result in injury or death	Revie thoro questi establ not ex	w the 10 and 18 in your Incident Respo 1gh briefing from line supervisor befor ons if unsure about anything. Be sure ished, and that you know your escape ceed 2:1 work to rest ratio.	onse Pocket Guide. Obtain a re initiating action. Ask communications are well routes and safety zones. Do

Surveying on or near the fireline.	Poor footing, hearing loss, cuts, eye damage, head injuries, burns, smoke inhalation, carbon monoxide, injury or death from handtools or chainsaw in use, being struck by falling debris, fire entrapment, fatigue/exhaustion, and dehydration.	ALL ARCH SURVEYORS MUST HAVE A MINIMUM QUALIFICATION OF FFT2. REQUIRED PPE MUST BE WORN WHILE ON THE FIRE LINE PPE includes leather gloves, minimum 8" high leather boots with Vibram soles, cuff-less nomex trousers, nomex long sleeve shirt, hardhat, ear protection, & eye protection. Obtain thorough briefing from line supervisor. Establish and remain in communications with line supervisor at all times; a radio with extra batteries is required for this work. Know where your lookout is posted. Watch for rolling rocks/burning materials when working on steep slopes. Watch for falling trees/snags. (It is adviseable to post a lookout when staying in one area for any length of time). Avoid working in heavy smokey areas to prevent smoke inhalation and irritation of the eyes. Limit time underneath power lines; do not work under powerlines in smoky conditions. Know your escape routes and safety zones, and reassess their viability regularly. Take breaks to avoid fatigue. Work at a reasonable work pace. Drink plenty of water. Do not exceed 2:1 work to rest ratio.
Surveying in advance of the fire.	Fire entrapement; unburned fuel between you and the fire; loss of communications with line supervisor could result in injury or death	Heritage surveys are often done in the area near the head of the fire with unburned fuel between you and the fire. In this zone,personnel need to have extensive firefighting experience to continually determine and assess their escape routes and safety zones. Line supervisors are less aware of the location of these personnel, so those working in this zone must be responsible for their own situational awareness, and take the initiative to remain in communication with the line supervisor on a regular basis. A radio with extra batteries is required for this position. Surveyors without extensive fireline experience must work under the direct supervision of an assigned line scout, dozer boss or other qualified personnel.
Working around heavy equipment.	Falling trees, rolling debris, hearing loss, and dusty conditions could result in injury or death.	Stay at least 100 feet ahead or 50 feet behind equipment. Watch for falling trees. Stay at least 2 ½ times the height of the tallest trees. The dozer operator often has limited visibility and may not see personnel in the area; back-up alarms are not always reliable. Never approach a dozer with out ensuring the operator sees you. Establish hand signals or other communication methods with the operator before beginning work. Do not get on equipment; if you need to talk face to face with the equipment operator, the operator will stop the machine, put down the blades and approach you. To increase your visibility, tie bright flagging on the handle of your tool. Wear ear and eye protection.

		Never stand or work downslope from a working dozer. Attach a strobe light, headlight, or glow stick to your pack to increase your visibility at night.
Working around aircraft.	water, retardant, and objects falling from aircraft, hearing loss, and flying debris could result in injury or death.	Wear ear protection and eye protection when working around aircraft. Stay well clear of water and retardant dropping operations. If caught unexpectedly in a drop zone, get clear of dead snags and limbs that may be knocked down; lie face down with head toward oncoming aircraft with hardhat in place; and hold tool away from your body.
Using hand tools.	Cuts, punctures, and splinters. Flying debris could result in eye injury.	Wear all required PPE. Carry tool on the downhill side with sharp edges in front and pointing down – never over your shoulder. Keep tool sharp, and handles sanded. Keep 10 feet distance between you and others when using tool.
Conducting post burn surveys.	Falling trees could result in injury or death; hot spots and stump holes; could result in burns; and exposure to retardant could result in injury.	Scope area for fire loosened trees and fire weakened snags; flag off any hazard trees located and notify line supervisor. Look up, look down, look around. Wear all required PPE. Watch footing; rocks are loosened in burned areas and wet retardant is slippery.
Encountering wildlife.	Snake bites, bee stings, and animal attacks could result in injury or death.	Watch out for poisonous animals such as rattlesnakes and scorpions. If a snake is encountered, advise others and choose an alternate route. Watch for bees, wasps, and hornets. If a nest is found, flag off the area and alert others. Watch out for other wildlife such as buffalo, bears, and mountain lions; they will attack if threatened.
Emergency Evacuation Proceedure	Delayed medical treatment for injury or illness could result in further	Notify line supervisor of any injury. Provide first aid. Stabilize patient as possible. Secure injury scene.

	injury or death.		
10. LINE OFFICER SIGNATURE	11. TITLE	12. DATE	
Previous edition is obsolete	(over)		
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JHA Instructions (References-FSH 6709.11 and .12)	Emergency Evacuation Instructions (Reference FSH 6709.11)
The JHA shall identify the location of the work project or activity, the name of employee(s) involved in the process, the date(s) of acknowledgment, and the name of the appropriate line officer approving the JHA. The line officer acknowledges that employees have read and understand the contents, have received the required training and the name of the work project or activity.	Work supervisors and crew members are responsible for developing and discussing field emergency evacuation procedures (EEP) and alternatives in the event a person(s) becomes seriously ill or injured at the worksite.
training, and are qualified to perform the work project or activity.	Be prepared to provide the following information:
 Blocks 1, 2, 3, 4, 5, and 6: Self-explanatory. Block 7: Identify all tasks and procedures associated with the work project or activity that have potential to cause injury or illness to personnel and damage to property or material. Include emergency evacuation procedures (EEP). Block 8: Identify all known or suspect hazards associated with each respective task/procedure listed in block 7. For example: a. Research past accidents/incidents. b. Research the Health and Safety Code, FSH 6709.11 or other appropriate literature. c. Discuss the work project/activity with participants. 	 a. Nature of the accident or injury (avoid using victim's name). b. Type of assistance needed, if any (ground, air, or water evacuation). c. Location of accident or injury, best access route into the worksite (road name/number), identifiable ground/air landmarks. d. Radio frequencies. e. Contact person. f. Local hazards to ground vehicles or aviation. g. Weather conditions (wind speed & direction, visibility, temperature). h. Topography. i. Number of individuals to be transported. j. Estimated weight of individuals for air/water evacuation.
a. Observe the work project/activity.	evacuation procedures.
 Block 9: Identify appropriate actions to reduce or eliminate the hazards identified in block 8. Abatement measures listed below are in the order of the preferred abatement method: a. Engineering Controls (the most desirable method of abatement). For example, ergonomically designed tools, equipment, and furniture. 	JHA and Emergency Evacuation Procedures Acknowledgment We, the undersigned work leader and crew members, acknowledge participation in the development of this JHA (as applicable) and accompanying emergency evacuation procedures. We have thoroughly discussed and understand the provisions of each of these documents: SIGNATURE DATE SIGNATURE DATE
 b. Substitution. For example, switching to high flash point, non-toxic solvents. c. Administrative Controls. For example, limiting exposure by reducing the work schedule; establishing appropriate procedures and practices. d. PPE (least desirable method of abatement). For example, using hearing protection when working with or close to portable machines (chain saws, rock drills, and portable water pumps). 	

Block 10: The JHA must be reviewed and approved by a line officer. Attach a copy of the JHA as justification for purchase orders when procuring PPE.	
Blocks 11 and 12: Self-explanatory.	